



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/608,103
Filing Date	06/30/2000
First Named Inventor	Christopher L. Hamlin
Art Unit	2136
Examiner Name	Carl G. Colin
Attorney Docket Number	K35A0631

Sheet 1 of 3

Examiner Initials*	Cite No. ¹	U. S. PATENT DOCUMENTS			
		Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,434,700	08-13-2002	Alonso et al.	
	2	US- 6,609,199	08-19-2003	DeTreville, John	
	3	US- 6,615,264	09-02-2003	Stoltz et al.	
	4	US- 5,687,237	11-11-1997	Naclerio, Edward J.	
	5	US- 4,757,534	11-12-1988	Matyas et al.	
	6	US- 5,465,183 A	11-07-1995	Hattori, Toshiro	
	7	US- 5,583,712 A	12-10-1996	Brunelle, Philip E.	
	8	US- 5,930,358	07-27-1999	Rao, Mahesh Chandra	
	9	US- 6,363,487	03-26-2002	Schneider, Eric D.	
	10	US- 6,823,398	11-23-2004	Lee et al.	
	11	US- 20030191816	10-09-2003	Landress et al.	
	12	US- 6,405,315	06-11-2002	Burns et al.	
	13	US- 6,550,009	04-15-2003	Uranaka et al.	
	14	US- 6,253,323	06-26-2001	Cox et al.	
	15	US- 6,064,989	05-16-2000	Cordery et al.	
	16	US- 6,289,457	09-11-2001	Bishop et al.	
	17	US- 5,923,754	07-13-1999	Angelo et al.	
	18	US- 6,144,743	11-07-2000	Yamada et al.	
	19	US- 6,289,102	09-11-2001	Ueda et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	20	EP 0816967 A2	01-07-1998	Scott et al.		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	3
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Application Number	09/608,103
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Attorney Docket Number	K35A0631

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PTO/SB/08B (08-03)
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known			
		Application Number	09/608,103		
		Filing Date	06/30/2000		
		First Named Inventor	Christopher L. Hamlin		
		Art Unit	2136		
		Examiner Name	Carl G. Colin		
Sheet	3	of	3	Attorney Docket Number	K35A0631

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	28	William Stallings, "Cryptography and Network Security, Principles and Practice", Second Edition, Prentice Hall, Inc. ISBN 0-13-869017-0, pp. 323-353, July 1998.	
	29	Microsoft, Computer Dictionary, 5th Edition, 2002, pg. 165.	
	30	Bertino et al., "Advanced Transaction Processing in Multilevel Secure File Stores", 1998, IEEE 1041-4347/98.	
	31	Menezes et al., "Handbook of Applied Cryptography", 1997, CRC Press, pg. 171, 357-358.	
	32	Menezes et al., "Handbook of Applied Cryptography", 1997, CRC Press, pp. 500-501.	

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180)

Complete if Known

Application Number	09/608,103
Filing Date	06/30/2000
First Named Inventor	Christopher L. Hamlin
Examiner Name	Carl G. Colin
Art Unit	2136
Attorney Docket No.	K35A0631

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 23-1209 Deposit Account Name: WESTERN DIGITAL

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)** **Multiple Dependent Claims**

HP = highest number of total claims paid for, if greater than 20 **Fee (\$)** **Fee Paid (\$)**

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

- 100 = / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Submission of an Information Disclosure Statement (FC 1806) **Fees Paid (\$)**

180

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	52,132	Telephone	(949) 672-7322
Name (Print/Type)	Jonathan E. Prejean, Esq.			Date	June 29, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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